

## **Notice to New Employees/Re-Hires**

Any individual ("Employee") who wishes to be employed by Turner Industries Group, L.L.C., Turner Specialty Services, L.L.C., Turner Industrial Maintenance, L.L.C., and/or any other related or affiliated companies (collectively "the Company") must read and sign the following Dispute Resolution Agreement as part of their hiring package. If you desire, you may stop the hire-in process at this point and take the time to review the Dispute Resolution Agreement, which is found below. You must, however, sign the Dispute Resolution Agreement if you wish to continue the hire-in process and if you wish to be employed by the Company. All Company employees hired on or after January 1, 2017, are required to agree to the Dispute Resolution Agreement below. Even if you do not sign the Dispute Resolution Agreement, you will be bound to it in accordance with applicable state law.

This Dispute Resolution Agreement will pertain to and govern any employment and any future re-employment with the Company. Once you execute or otherwise are bound by this Dispute Resolution Agreement, you agree, acknowledge, and confirm that it shall be fully enforceable against you and the Company each and every time you become employed or re-employed by the Company at a future date. You may sign or become otherwise bound by applicable state law to this form only once (but will still be bound in the future), and you and the Company specifically waive, renounce, release, discharge, and surrender any claim, right, or suggestion that the lack of re-execution of this form upon future re-employment is a defense to a claim that this Dispute Resolution Agreement is fully enforceable.

## Introduction

The Company's success is founded in great part on the abilities, dedication, and efforts of all of its employees. The Company has always treated its employees with respect, and recognizes each employee as an important individual who contributes to the Company's success. We hope that workplace problems or disputes can be resolved quickly and fairly, usually through informal discussions between you and your supervisor. If you are not comfortable with taking your complaint to your supervisor, then you should contact the appropriate Human Resources manager, or you may call toll free at 1-800-288-6503, and ask for the Employee Relations Department. When internal procedures do not resolve the issue, the Company has prepared and implemented an alternative to traditional litigation in the form of the below Dispute Resolution Agreement with all employees. These dispute resolution procedures in the Dispute Resolution Agreement will lead to a meaningful and fair result and will reduce the delay and costs associated with traditional litigation.

The Company expressly forbids any retaliation against any employee who has participated – or because he or she has participated – in these dispute resolution procedures. If you feel you have been the victim of any retaliation, please immediately report that to your supervisor, the Human Resources Department, the employee hotline number [1-800-626-1735] or the Employee Relations Department. The Arbitrator shall not have the authority to add to, amend, or modify existing law or alter your at-will employment status. While the Dispute Resolution Agreement establishes a mandatory program for resolving workplace disputes, it does not change your "at-will" employment status, or to the Company's right to discipline or terminate you or any employee.

## **Dispute Resolution Agreement ("DRA")**

Both Employee and the Company agree to resolve any and all claims, disputes or controversies arising out of or relating to Employee's employment with the Company exclusively by binding arbitration to be administered by the American Arbitration Association (the "AAA") pursuant to its Employment Arbitration Rules and Mediation Procedures (the "Rules"). The Rules are available on the AAA website as amended from time to time (<a href="www.adr.org">www.adr.org</a>). In addition, copies of the Rules are available from the Human Resources Department and/or the Employee Relations Department. An example of some, but not all, of the types of claims covered by this DRA are: unpaid wages, overtime, or other compensation; discrimination or harassment on the basis of race, sex, age, national origin, religion, disability or any other protected class; breach of contract; retaliation (including without limitation workers' compensation retaliation actions); wrongful discharge; claims regarding benefits and benefit plans [unless a separate mandatory dispute resolution procedure is provided]; common law or tort claims such as defamation; and claims arising under any statutes or regulations applicable to employees or applicable to the employment relationship, such as the Civil Rights Acts (Title VII and § 1981), the Age Discrimination in Employment Act, the Americans with Disabilities Act (as amended) (both Title I and Title III), the Family and Medical Leave Act, the Pregnancy Discrimination Act, the Genetic Information Nondiscrimination Act,

<sup>&</sup>lt;sup>1</sup> Any and all previous versions/iterations of any dispute resolution procedures remain in effect until December 31, 2016 [the "Pre-2017 DRA"]. Any claim arising on or prior to December 31, 2016, shall be subject to the Pre-2017 DRA.

the Equal Pay Act, the Uniformed Services Employment and Reemployment Act, the National Labor Relations Act, the Occupational Safety and Health Act, the Employee Retirement Income Security Act, and the Fair Labor Standards Act; as well as all claims arising under any and all state and/or local employment law[s].

Claims not covered by this DRA are claims or actions i.) seeking benefits pursuant to state workers' compensation or unemployment compensation statutes or regulations, ii.) for employee benefits which are subject to mandatory litigation and/or dispute resolution provisions contained in the applicable employee benefit plan document, iii.) to compel arbitration or to enforce an arbitrator's award under this DRA, and/or iv.) by the Employee and/or the Company for temporary and/or preliminary injunctive relief, or such other emergency injunctive and/or equitable relief until such time that an Arbitrator may be appointed. Any such temporary and/or injunctive relief entered by a court shall remain binding on the parties until such further action by the duly appointed Arbitrator. This DRA does not affect or limit an Employee's right to file a charge with a federal, state or other governmental administrative agency, including but not limited to the Equal Employment Opportunity Commission, the National Labor Relations Board, the United States Department of Justice, and/or the United States Department of Labor.

Except as expressly provided herein, the Company and the Employee expressly waive all rights to a trial in a court by judge, magistrate, and/or jury on all claims between them. Each employee's concerns are unique to him or her. Because this DRA is intended to resolve the particular dispute as quickly as possible, the Arbitrator shall not have the authority to consolidate or join the claims of other employees into a single proceeding, to fashion a proceeding as a class, collective or representative action, or to award relief to a class or group of employees. Any claim[s] on behalf of other employees will be maintained and decided under the AAA rules as individual claims. In addition, Employee and the Company waive, renounce, and relinquish any and all rights, claims, and/or privileges to form, constitute, or join a class or collective action to be adjudicated pursuant to this DRA, or to bring or institute any arbitral claim pursuant to this DRA on behalf of any class or collection of individuals.

To the extent practical, and subject to available venues with the AAA, the arbitration shall be held in or near the city in which the Employee works, or was last employed by the Company. The Employee or Company may appeal a decision to the United States District Court for the district in which the Arbitration was brought; however any such appeal rights are and shall be limited pursuant to 9 U.S.C. § 10(a) of the Federal Arbitration Act. Any such appeal shall be filed within forty-five (45) days of an arbitrator's award, decision, or ruling.

Arbitrators shall i.) have substantial knowledge and experience in the area of the employment related law subject to the arbitration; and ii.) shall been previously appointed and accepted as an arbitrator in a similar AAA sanctioned arbitration proceeding. The Employee and Company shall pay administrative filing fees in accordance with the rules of the AAA which pertain to employment disputes. Under no circumstances shall the Company or the Employee be required to pay any attorneys' fees incurred by the other party, unless ordered to do so pursuant to an arbitration award.

Consistent with the expedited nature of Arbitration, the Arbitrator shall have the authority to and shall expeditiously consider and rule on dispositive motions such as motions to dismiss or motions for summary judgment in accordance with the standards generally applicable to Rules 12 and 56 of the Federal Rules of Civil Procedure. The Arbitrator shall issue detailed written reasons in support of any ruling on dispositive motion(s). All written reasons issued in connection with DENIALS of dispositive motions shall be served on the parties not less than twenty-one (21) days before any scheduled arbitration hearing. The Arbitrator may issue subpoenas to compel the attendance of witnesses and the production of documents. The Arbitrator shall have the exclusive authority to resolve any dispute relating to the interpretation, applicability, or enforceability, of this DRA, including, but not limited to, any claim that any part of this DRA is unenforceable, void, or voidable. Discovery will be generally limited in any arbitration under this DRA. Absent a showing of substantial need for additional discovery, the Arbitrator shall limit discovery to 25 interrogatories and document requests combined per party and 2 depositions per party.

Subject to administration and scheduling issues as handled by the AAA, it is anticipated that the arbitration hearing shall be held within 180 days of the Arbitrator's appointment. Employee and the Company agree that this DRA shall be enforceable pursuant to and interpreted in accordance with the provisions of the Federal Arbitration Act, and, to the extent applicable, the substantive laws of the state where the claim arose. Any party who initiates an arbitration with the AAA shall notify the other party directly and promptly. Notice to the Employee shall be to his or her last known address as reflected in the Company records. Notice to the Company shall be to the Corporate General Counsel, Turner Industries Group, LLC, 8687 United Plaza Blvd., Baton Rouge, Louisiana 70809.

If an Employee or the Company files a lawsuit in court rather than a demand for arbitration under the DRA within the time allowed by applicable law for the filing of a lawsuit, and thereafter is ordered by the court to submit the dispute to arbitration in accordance with this DRA, the Employee/Company must initiate arbitration within 120 days of the date the court's order becomes final, unless the court sets a longer or shorter deadline. Failure to file the arbitration demand within the requisite time period will bar the claim.

If any provisions of the Rules or of this DRA are determined by the Arbitrator or by any court of competent jurisdiction to be unlawful, invalid, or unenforceable, such provisions shall be severed or modified so that the DRA or the Rules may be enforced to the greatest extent permissible under the law. All remaining terms shall continue in full force and effect.

The arbitral proceedings and Arbitrator's decision shall be confidential. Neither the Employee nor Company may publicly disclose the terms of any arbitral award unless: i) agreed to in writing by the other party, ii) subpoenaed by a court to testify, iii) required by law as communication to the Internal Revenue Service or other applicable government entity, or iv) necessary to enforce or collect on the arbitral decision or award in a filing with a court of competent jurisdiction. The Arbitrator may issue protective orders in response to a request by either party or by a third-party witness. Such protective orders may include, but are not limited to, sealing the record or the arbitration hearing, in whole or part, to protect the privacy, trade secrets, proprietary information, and/or other legal rights of the parties or the witnesses.

For the purposes of the scope of the DRA, "Company" shall include parent, subsidiary and related companies, specifically including Turner Industries Group, L.L.C. ["TIG"], Turner Specialty Services, L.L.C. ["TSS"], Turner Industrial Maintenance, L.L.C. ["TIM"], and any applicable subsidiary companies, related companies, trade names, and alleged joint employers or any other individual or corporate co-respondents or defendants, as well as their respective officers, directors, managers, and employees (current and former).

Employee and Company understand that any and all claims and disputes covered by this DRA must be arbitrated against the other party and that neither party may file a lawsuit in any court in regard to any such claims or disputes. If the Employee or Company files a lawsuit for any such claims or disputes, including without limitation for those arising out of the Employee's employment, the other party may use this DRA to request a court to dismiss the lawsuit and require the party to participate in binding arbitration as provided in this DRA. The dispute resolution procedures described in this DRA shall survive the termination and/or cessation of the Employee's employment. This DRA supplements matters covered in the Company's employment handbook and other policies provided or applicable to the Employee.

This DRA may be modified or terminated by the Company after 30 days' prior written notice to Employee. Any modifications or termination shall be prospective only and shall not apply to any claims or disputes that are pending in any arbitration or that have been initiated by either party.

This DRA shall be governed by the laws of the state where any arbitration is required to be filed, exclusive of conflict or choice of law rules. The parties acknowledge that this DRA evidences a transaction involving interstate commerce. Notwithstanding the provision in the preceding sentence with respect to applicable substantive law, any arbitration conducted pursuant to the terms of this DRA shall be governed by the Federal Arbitration Act (9 U.S.C., Secs. 1-16).

**Special Note:** This DRA and the Rules referenced above are important documents that affect Employee's and the Company's legal rights. Employee should read and understand them, and Employee may wish to consult with private legal counsel at his or her own expense before continuing with the hire-in process, which will evidence the Employee's acceptance of this DRA and the Rules.

Print Name of Employee	
Signature of Employee	
Last Four Digits of Social Security Number	
Date	